

**PRELIMINARY PUBLIC REPORT –  
APPLICATION INSTRUCTIONS**

RE 603 (Rev. 1/97)

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**GENERAL APPLICATION INFORMATION**

This is an application for a preliminary public report for either a common interest or standard subdivision. It does not constitute a notice of intention for a final public report.

A preliminary public report must be obtained before a subdivider may advertise or take reservations on subdivision interests. A final public report must be obtained before any subdivision interest may be sold or leased.

If all filing requirements are met a preliminary public report will normally be issued within 14 days after receipt of the application.

**HOW TO APPLY — WHAT TO SUBMIT**

To obtain a preliminary public report, complete and submit the following forms to the appropriate Department of Real Estate Subdivision Office (refer to “Where to File Your Application” on page 2).

**Application Submittal  
(Preliminary Public Report) (RE 603A)**

Complete information under “Public Report Information”. Check the appropriate boxes in the “Items Submitted” column that correspond to each item enclosed.

All items with an asterisk (\*) *must* be submitted before your file will be accepted. Submit any additional documents that are applicable.

If any of the items are deficient, DRE will check the box in the “Deficient” column, add comments if necessary, and return the form for corrections to the single responsible party (SRP) shown on the application.

**Preliminary Public Report Application (RE 603B)**

Submit the original and one additional copy of page 1.

- Answer all questions in the application. If an item is not applicable, state NA.
- Item #5 (Single Responsible Party)* - Only one individual

may be listed as the single responsible party (SRP). This individual may be contacted to answer any questions and will receive the preliminary public report when issued. Do not request that correspondence or extra copies of the public report be sent to other parties. In addition, copies of all written communications to the SRP will be sent to the subdivider.

*Item #16 (Certification)* – The certification states that all representations made in the application, including all exhibits, are true, accurate and complete.

**Filing Fee**

Submit a certified check, money order or check payable to “Department of Real Estate” for the required filing fee.

**Note:** The check must be dated not more than 60 days before the application is received by DRE. **Do not send cash. Attach the fee to the photocopy of page 1 of RE 603B.**

Filing fees include the basic filing fee and preliminary public report fee, plus a lot/unit fee for each lot/unit to be covered by this preliminary public report. Refer to Subdivision Filing Fees (RE 605) to calculate the appropriate fee.

**Typed Preliminary Public Report (RE 603C or 603D)**

Submit the original and three copies (reproduced on pink paper).

**Note:** All preliminary public reports are printed on pink paper so that they are easily distinguishable from final public reports, which are printed on white paper.

The preliminary public report consists of the RE 603C and RE 646 for a common interest subdivision or the RE 603D for a standard subdivision. Follow the instructions listed below to complete these forms.

- For All Subdivisions** - Complete either RE 603C or 603D as outlined below:
  - Subdivision Information [*front page of RE 603C and D*]
 

Enter the name of applicant, tract or map name and number, advertising name, and county **only**. *DRE will complete the file number, issuance date, and expiration date fields.*
  - Location and Size [*page 2, RE 603C and D*]
 

This subdivision contains (#) lots or units on (#) acres and is located at (*street address or cross streets*) within the city limits of (*city/town*) or approximately (#) miles from (*city/town*).

- 3) Reservation Money Handling [page 2 of RE 603C and D]

Add the name and street address of the escrow depository. Do not use a post office box.

- b. **For Common Interest Subdivisions Only** - Complete the following on RE 603C.

- 1) Type of Subdivision – Choose one of the following to indicate the type of subdivision (project):

Community Apartment  
Condominium  
Condominium Conversion  
Limited Equity Housing Cooperative  
Planned Development  
Planned Development Mobile Home  
Stock Cooperative  
Stock Cooperative Conversion

- 2) Interest To Be Conveyed (page 2) – Choose the appropriate phrase below, fill in the blanks and enter the phrase on page 2. (If none of the statements below is representative of your offering, rephrase the statement and explain the reasons in a cover letter.)

- a) Condominium or Condominium Conversion

*You will receive fee title to a specified unit and an undivided fractional interest as a tenant-in-common in the common area together with a membership in the \_\_\_\_\_ Association and rights to use the common area(s).*

- b) Stock Cooperative, Stock Cooperative Conversion, or Limited Equity Housing Cooperative

*You will receive an exclusive right of occupancy and lease to a specified unit together with membership (or shares) in the \_\_\_\_\_ Cooperative Corporation which owns the property.*

- c) Planned Development or Planned Development Mobile Home

*You will receive fee title to a specified lot together with a membership in \_\_\_\_\_ Association and rights to use the common area(s).*

- d) Community Apartment

*You will receive an undivided interest in all the property and an exclusive right to occupy a specified apartment.*

### Address Labels

Prepare five, self-adhesive, self-addressed address labels for the SRP of this project and another five for the subdivider.

*Example:* Mr. John Smith  
Smith Title Company  
123 Main Street  
Anywhere, CA 90000

### WHERE TO FILE YOUR APPLICATION

There are two DRE Subdivision Regional Offices. Each office processes applications for subdivisions being developed in certain counties as shown below.

You must file your application in the appropriate office. Some applications may be transferred to the other Regional Office for processing. You will be advised if your file is transferred.

*The following counties are covered by the Southern office:*

Imperial	Los Angeles
Orange	Riverside
San Bernardino	San Diego
Santa Barbara	Ventura

#### Subdivisions Office - South

107 S. Broadway, Rm. 7111  
Los Angeles, CA 90012  
Telephone: (213) 897-3908

*All Other Counties are covered by the Northern office:*

#### Subdivisions Office - North

2201 Broadway, CA  
Mailing address: P.O. Box 187005  
Sacramento, CA 95818-7005  
Telephone: (916) 227-0813

### PRELIMINARY PUBLIC REPORT ISSUANCE PROCEDURE

The Department will review your pre-typed preliminary public report, and if the documents meet DRE standard, the report will be returned to the SRP.

***You are required to duplicate the preliminary public report on pink paper and provide a copy to each party making a reservation.***

### RECEIPT FOR PUBLIC REPORT (REQUIRED BY REGULATION 2795.1)

Each prospective purchaser must be given a copy of the public report for which a receipt must be completed and retained for 3 years. A Receipt for Public Report (RE 614E) shall be used by the owner/subdivider (or agent). (Refer to Figure A.) A copy of RE 614E will be provided when the public report is issued.

***The receipt is to be kept on file by the subdivider or his/her representative/agent for three years.***

***Regulation 2795.1(a)*** states, "A receipt on the form specified herein shall be taken by or on behalf of the subdivider from each person executing a reservation agreement under authority of a preliminary public report and each person who has made a written offer to purchase or lease a subdivision interest under authority of a final subdivision public report."

Subsection (b) of Regulation 2795.1 provides that the receipt shall be retained for a period of three years from the date of the receipt and shall be available for inspection by DRE during regular business hours.

- (a) A copy of the public report of the commissioner, when issued, shall be given to the prospective purchaser by the owner, subdivider or agent prior to the execution of a binding contract or agreement for the sale or lease of any lot or parcel in a subdivision. The requirement of this section extends to lots or parcels offered by the subdivider after repossession. A receipt shall be taken from the prospective purchaser in a form and manner as set forth in regulations of the Real Estate Commissioner.
- (b) A copy of the public report shall be given by the owner, subdivider or agent at any time, upon oral or written request, to any member of the public. A copy of the public report and a statement advising that a copy of the public report may be obtained from the owner, subdivider or agent at any time, upon oral or written request, shall be posted in a conspicuous place at any office where sales or leases or offers to sell or lease lots within the subdivision are regularly made.

Please refer to Publications Request (RE 350) for ordering information.

**FIGURE “A”**

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*Address* \_\_\_\_\_